UNM IT Research Technologies Advisory Board
Minutes: Wednesday, May 23, 2018  
Scholes Hall Roberts Room  
1:00 – 2:30pm

Attendees: Patricia Henning, Shawn Berman, Karl Benedict, Tito Busani, Mary Tsiongas, Jon Wheeler, Mark Servilla, Duane Arruti, Grace Faustino, Brian Pietrewicz, Sylvia Celedon-Pattichis
Acting Chair: Barbara McCrady
Support: Emily Morelli

Topics

1. Approval of Previous Minutes (March 2017)
   • Approved with edits.

2. CIU Status Update
   • Dr. Bridges discussed the current CIU efforts, which involves assessment of contracts for CUI language, and assessment of the actual contract work to determine if it involves CUI. Assessment involves some effort. Currently, there are two contracts with export control requirements. The Export Control office works with the PIs, but the DOD has established new federal regulation clauses in all contracts specifying controls for CUI. UNM is currently examining the gap between the development of plans and who determines if a plan meets its requirements. The main problem is that no one entity owns this process from beginning to end, so gaps exist between IT, the OVPR, the home department, Export Control and the OSP. Currently, a CUI steering committee is working on this project, but there is no long-term solution.
   • Discussion included the fact that the sponsor is responsible for determining if there is CUI in a contract, and that the PI is responsible for his/her plan, and whether or not the University is ultimately responsible. There was also discussion of whether or not the presence of CUI is clearly stated by the sponsor.
   • CIO Arruti explained that during the current process to examine contracts uncovered instances where the sponsor has indicated CUI was present when it was not, and so UNM was negotiating to have language removed from the contract. The purpose of assessment is to determine if CUI is present; if the language remains in the contract, UNM would like to have a plan for future handling of CUI to meet the terms of the contract even if there is currently no CUI present.
   • DCIO Pietrewicz noted that to define the process, an owner needed to be defined.
   • Dr. McCrady inquired if CUI determination was consistent with cross-funding agencies. Ms. Faustino replied that NIST is trying to clarify a standard framework so that baseline implementation is in place no matter what data you are working with; for instance, controls for handling HIPAA for FERPA have a higher risk association, while CUI has a moderate level of risk assigned to it across the board. Obviously when both are present, the University is obligated to meet the higher risk requirements.
   • Dr. Bridges noted that PIs need to be able to work presently and cannot wait for a completely defined process. There is a need to strike a balance currently with stop-gap measures, which is what the assessment process put in place in December represents. It requires signature from the local IT support person and the CIO, as well as the PI. Ultimately, OSP signs off on contracts on behalf of the Regents, so they are responsible.
• Ms. Faustino noted that other institutions, such as Stanford and University of Michigan are ahead in the process in terms of directing PIs to resources and information. Our challenge is to have an enterprise solution that addresses approximately 75% of PIs in place as contracts are renewed, due to volume. Special cases will be dealt with separately. Resources will need to be identified.

• Karl Benedict suggested leveraging the linkage between CUI and IRB and development of controls during the proposal process, i.e., frontloading the enterprise solution in the submission and review process to the appropriate extent.

• CIO Arruti noted that the outcome of CUI program will be defining what resources are needed. Once there is a proposed plan, input from the board will be requested.

3. Setting and Prioritization of Goals for 2018-19
   • After discussion, the board set the following priorities for 2018-19:
     i. CUI
     ii. Data management
     iii. Intake Process
     iv. Research IT Services Catalogue

4. Miscellaneous
   • Future agenda item: CUI Program & Project Structure (Elaine Rising)

Next Meeting: June 25, 2018  1:00-2:30pm Scholes Hall, Roberts Room